

REQUEST FOR QUALIFICATIONS

American Rescue Plan Act State & Local Fiscal Recovery Funding ARP Nonprofit Partnership Program

ScaleUp Success **Accelerator Program** Facilitator for Dallas County Small Businesses

SUBMISSION DEADLINE

February 10, 2023 at 5:00pm (CST)

ISSUED BY

National Development Council

Dallas Office

Dallas, TX 75226 www.ndconline.org (800) 501-7489

RFQ Contact

1808 S. Good Latimer Expy Laura Salinas-Martinez, Operations Support Director, **Technical Advisory Services** (210) 314-9860 lsalinas@ndconline.org

January 27, 2023



Request for Qualifications American Rescue Plan Nonprofit Partnership Program ScaleUp Success Accelerator Program Facilitator for Dallas County Small Businesses

Intent

The National Development Council (NDC) is requesting proposals from qualified organizations to partner with NDC as a subaward recipient to deliver the ScaleUp Success Accelerator Program for small businesses operating in Dallas County. NDC will serve as the ScaleUp Success Accelerator Program Administrator ("Program Administrator) and the qualified organization will serve as the ScaleUp Success Accelerator Program Facilitator ("Program Facilitator").

Background

NDC is the nation's oldest and most innovative non-profit community development organization, a CDFI and training/technical assistance provider in the areas of affordable housing and economic development to nonprofit and public sector entities. NDC's mission is to direct capital to support the development and preservation of affordable housing, create jobs through small business lending, advance livable communities with social infrastructure investment, and build capacity with hands-on technical assistance to local governments. Throughout the pandemic, NDC has served as third party administrator and efficiently deployed over \$632 million in disaster recovery programs across the U.S. NDC has been an innovator in designing and developing programs, a builder of practitioner cohorts across widely scattered geographies, a national leader in providing capital to economically underserved communities, a collaborator providing development expertise, financial assistance, technical advisory services, and provider of best in class training as a part of a comprehensive community development service delivery model. In late 2022, NDC was awarded funding from Dallas County, Texas to deliver the ScaleUp Success Accelerator Program.

The ScaleUp Success Accelerator Program is funded by the Coronavirus State Fiscal Recovery Fund and the Coronavirus Local Fiscal Recovery Funds under CFDA No. 21.027 ("ARPA Funds"). As such, the selected Program Facilitator shall comply with the Award Terms provided in Attachment A, the Coronavirus State and Local Fiscal Recovery Funds Interim Final Rule ("Interim Final Rule"), the Coronavirus State and Local Fiscal Recovery Funds Final Rule ("Final Rule"), and the Compliance and Reporting Guidance for State and Local Fiscal Recovery Funds ("Compliance & Reporting Guidelines"), all linked below:

Interim Final Rule: https://www.govinfo.gov/content/pkg/FR-2021-05-17/pdf/2021-10283.pdf
Final Rule: https://www.govinfo.gov/content/pkg/FR-2022-01-27/pdf/2022-00292.pdf
Compliance & Reporting Guidelines: https://home.treasury.gov/system/files/136/SLFRF-Compliance-and-Reporting-Guidance.pdf



The **ScaleUp Success Accelerator Program** will combine grant funding and targeted technical assistance to assist in the immediate recovery and long-term resiliency of Dallas County's disproportionately-impacted households and small business community. The program will make targeted investments in low-income entrepreneurs who are currently unemployed or underemployed and to small businesses operating in qualified census tracts. Technical assistance will be provided in phases and grant funds will be provided to participants who meet program benchmarks.

Program Overview and Scope of Services

The program structure of the ScaleUp Success Accelerator falls under the three over-arching goals – Education & Training – Access – Advocacy – with the objectives being to provide services that assist the small businesses in becoming:

- Contract ready
- Bankable (access to capital)
- Bondable (if applicable)
- Competitive through traditional methods and through the use of technology and digital marketing

The services will be provided in three stages

- 1. Inquire
- 2. Invest
- 3. Impact

<u>Inquire</u>

Nature of the Work: Prior to entrepreneurs beginning the Inquiry stage, the ScaleUp Success Accelerator Program Facilitator will design the program curriculum and outreach plan. The outreach plan will be implemented in order to identify potential entrepreneurs to participate in one of up to three cohorts provided annually, with up to 30 participants in each cohort:

- 1. A cohort for English speakers,
- 2. A cohort for Spanish speakers, and
- 3. A collaborative cohort of participants referred by local business support organizations.

During the Inquiry stage, the Program Facilitator will provide program orientation and up to four (4) hours of foundational training that helps participants draft a business vision. Upon completion of the Inquiry stage, the Program Facilitator will identify up to three cohorts of entrepreneurs who will be invited to participate in the ScaleUp Success Accelerator Program. The ScaleUp Success Accelerator Program will accept up to 30 entrepreneurs in each cohort.



Program Facilitator Deliverables:

- 1. Design program curriculum and participant outreach plan and submit to Program Administrator for review and approval.
- 2. Provide four (4) hours of foundational training, including guiding participants to draft of three-year business vision.
- 3. Guide participants in drafting a SWOT analysis to identify strengths, weakness, opportunities and threats.
- 4. Provide training and assistance in applying for small business certifications.
- 5. Identify entrepreneurs who will participate in accelerator cohort.
- 6. Provide foundational training to entrepreneurs who need more support to become accelerator ready.

Invest

Nature of the Work: During the Invest stage, the Program Facilitator will facilitate 8 business clinics of intensive training offered biweekly and provide one-on-one and group technical assistance.

Program Facilitator Deliverables:

- 1. For each of the 3 cohorts, facilitate eight (8) Business Clinics that meet for four (4) hours on a biweekly basis. (Total of 32 hours of training per cohort). Topics will include: financial management, strategic planning, managing operations, and financial management.
- 2. For each of the 3 cohorts, provide bi-weekly one-on-one and group technical assistance to members of the cohort to ensure that cohort members understand the Business Clinic curriculum and successfully develop a management support plan.
- 3. Secure faculty to serve as instructors for the Business Clinics.
- 4. Recruit successful entrepreneurs to share lessons learned of running a business.
- 5. Provide information regarding business support and training provided by local organizations.

<u>Impact</u>

Nature of the Work: The work that will be undertaken in the Impact stage is designed to continue support and assistance to the entrepreneurs through ongoing technical assistance and ongoing management support which will lead to real end-game impact – growth in revenues, job creation and asset building for the entrepreneurs.



Program Facilitator Deliverables:

- 1. Facilitate at least one matchmaking event for each cohort where entrepreneurs are introduced to local procurement representatives and representatives of financial institutions.
- 2. Continue to provide one-on-one technical assistance and business advising to entrepreneurs until the end of the program.
- 3. Secure qualified vendors who can provide digital marketing, website creation, and social media engagement services to members of the cohort.

This program is anticipated to deploy on March 1, 2023 and be completed on December 31, 2024.

Budget

NDC has set aside \$1,364,886 as a subaward to a qualified organization(s) for the project term, which begins upon subaward and is anticipated to end December 31, 2024. Eligible costs for the program budget include personnel costs, fringe benefits, travel, equipment, supplies, contractual services, and indirect costs.

Additionally, \$470,000 of the total program budget has been reserved for participant grant funding.

Qualifications

NDC is seeking proposals from reputable community organizations with:

- 1. Prior experience in administering the SBA's former ScaleUp America Program or a similar program.
- 2. Prior experience facilitating Kauffman Foundation courses, such as the FastTrac™ program, as a certified instructor.
- 3. Ability to facilitate business clinics and provide one-on-one technical assistance in Spanish.
- 4. A comprehensive understanding of the economic impacts of COVID-19 to small businesses.
- 5. The ability to assess small businesses to determine sustainability and future success, including stabilization needs, if any, and viable expansion goals.
- 6. Experience with developing and deploying training and networking programs.
- 7. An established local presence in Dallas County, including physical office space in Dallas County.

NDC intends to select one (1) qualified organization to serve as the Program Facilitator.



Solicitation Timeline

Solicitation Issuance Date: Friday, January 27, 2023

Written Questions Deadline Date: Friday, February 3, 2023, at 5pm (CST)
Proposal Submission Deadline: Friday, February 10, 2023 at 5pm (CST)

Submit written questions via email to Laura Salinas, Director, Operations Support at Isalinas@ncdonline.org.

Proposal Requirements

Proposals should be no longer than eight (8) double-spaced pages written in 12-point font, excluding bios, resumes and disclosures.

Proposals should include the following:

- 1. COVER PAGE with firm information to include:
 - a. Legal Name and DBA (if applicable)
 - b. Physical Address
 - c. Mailing Address
 - d. Phone Number
 - e. Website
 - f. Year Established
 - g. Employer Identification Number
 - h. Unique Entity Identifier https://sam.gov/content/entity-registration
 - i. Mission Statement
 - j. Contract information for authorized contract signer
 - k. Proposal Contact Information
- EXECUTIVE SUMMARY with a concise statement of the Respondent's understanding of the RFQ and how the organization is best suited to deploy the ScaleUp Success Accelerator Program.
- 3. QUALIFICATIONS AND EXPERIENCE should include the following:
 - a. Describe background and related experience in demonstrating ability to provide required services to facilitate the ScaleUp Success Accelerator Program. Indicate if expansion of your organization is required to provide the proposed services.
 - b. List and describe at least one successfully completed similar project.
 - c. Provide Project Team chart with names and the qualifications and experience of staff who will be assigned to this project. Please attach bios and resumes of project team members as Exhibit A.
 - d. Provide three professional references who can attest to and have knowledge of your organization's work. For each reference, the Respondent should provide the entity name, contact person, title, telephone number, email address, and a brief description of your engagement with the reference.



- e. If you anticipate engaging another vendor/organization to assist with any portion of the project, please explain their role in detail.
- 4. PROGRAM BUDGET should be completed in the excel spreadsheet provided as part of this solicitation. The excel spreadsheet template may not be modified, but a notes section has been included for any comments that may need to be provided. Once completed, this spreadsheet should be provided (in Excel) with the Respondent's proposal as Exhibit B.

Conflict of Interest

Respondent shall disclose as Exhibit C any conflict of interest under this solicitation. A conflict of interest occurs when an individual's personal interests (family, friendships, financial, or social factors) compromise the impartiality of a procurement process and create an unfair competitive advantage.

Respondent represents that it:

- 1. Is not related to by blood or marriage any NDC Board Member or employee;
- 2. Has not provided a gift or payoff to an NDC Board Member or employee or their relative or business entity;
- 3. Has not retained any person to solicit or secure this contract upon an agreement or understanding for a commission, percentage, or brokerage or contingent fee;
- 4. Has not knowingly influenced an NDC Board Member or employee.

Failure to disclose a conflict of interest or for violation of these provisions, NDC shall have the right to terminate this solicitation and any future contract negotiations.

Submission Requirements

Respondent shall submit a complete proposal electronically (via email) in <u>one</u> Adobe PDF file. Proposals should be no longer than 8 double-spaced pages written in 12-point font, excluding bios, resumes and disclosures. Proposals should include concise responses to the specifications outlined in "Proposal Requirements" and should be organized/bookmarked as outlined below:

- Cover Page
- 2. Executive Summary
- 3. Qualifications and Experience
- 4. Exhibit A Bios and Resumes
- 5. Exhibit B Program Budget Spreadsheet (in MS Excel)
- 6. Exhibit C Conflict of Interest Disclosure (if applicable)

Proposals must be emailed to <u>Isalinas@ndconline.org</u> by the proposal submission deadline at 5pm (CST) on Friday, February 10, 2023.



Proposal Contact

Laura Salinas Operations Support Director E-mail: lsalinas@ndconline.org

ATTACHMENT A Award Terms

EXHIBIT A (Award Terms and Conditions)

OMB Approved No.:1505-0271 Expiration Date: 11/30/2021

U.S. DEPARTMENT OF THE TREASURY CORONAVIRUS LOCAL FISCAL RECOVERY FUND

| Recipient name and address: Dallas County 1201 Elm Street, Suite 2300 Dallas, Texas 75270-2137 | DUNS Number: 090849647 Taxpayer Identification Number: 756000905 Assistance Listing Number and Title: 21.019 |
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| - mas, reads /32/0-2137 | |

Sections 602(b) and 603(b) of the Social Security Act (the Act) as added by section 9901 of the American Rescue Plan Act, Pub. L. No. 117-2 (March 11, 2021) authorize the Department of the Treasury (Treasury) to make payments to certain recipients from the Coronavirus State Fiscal Recovery Fund and the Coronavirus Local Fiscal Recovery Fund.

Recipient hereby agrees, as a condition to receiving such payment from Treasury, to the terms attached hereto.

| Recipient: | |
|----------------------------------|--|
| | |
| Authorized Representative: | |
| Title: Dallas County Judge | |
| Date signed: 5/12/2021 | |
| U.S. Department of the Treasury: | |
| | |

Authorized Representative:

Title:

Date signed:

PAPERWORK REDUCTION ACT NOTICE

The information collected will be used for the U.S. Government to process requests for support. The estimated burden associated with this collection of information is 15 minutes per response. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Office of Privacy, Transparency and Records, Department of the Conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid control number assigned by OMB.

U.S. DEPARTMENT OF THE TREASURY CORONAVIRUS LOCAL FISCAL RECOVERY FUND AWARD TERMS AND CONDITIONS

1. Use of Funds.

- a. Recipient understands and agrees that the funds disbursed under this award may only be used in compliance with section 603(c) of the Social Security Act (the Act), Treasury's regulations implementing that section, and guidance issued by Treasury regarding the foregoing.
- b. Recipient will determine prior to engaging in any project using this assistance that it has the institutional, managerial, and financial capability to ensure proper planning, management, and completion of such project.
- 2. <u>Period of Performance</u>. The period of performance for this award begins on the date hereof and ends on December 31, 2026. As set forth in Treasury's implementing regulations, Recipient may use award funds to cover eligible costs incurred during the period that begins on March 3, 2021, and ends on December 31, 2024.
- 3. Recipient agrees to comply with any reporting obligations established by Treasury as they relate to this award.
- 4. Maintenance of and Access to Records
 - a. Recipient shall maintain records and financial documents sufficient to evidence compliance with section 603(c) of the Act, Treasury's regulations implementing that section, and guidance issued by Treasury regarding the foregoing.
 - b. The Treasury Office of Inspector General and the Government Accountability Office, or their authorized representatives, shall have the right of access to records (electronic and otherwise) of Recipient in order to conduct audits or other investigations.
 - c. Records shall be maintained by Recipient for a period of five (5) years after all funds have been expended or returned to Treasury, whichever is later.
- 5. Pre-award Costs. Pre-award costs, as defined in 2 C.F.R. § 200.458, may not be paid with funding from this award.
- 6. Administrative Costs. Recipient may use funds provided under this award to cover both direct and indirect costs.
- 7. Cost Sharing. Cost sharing or matching funds are not required to be provided by Recipient.
- 8. Conflicts of Interest. Recipient understands and agrees it must maintain a conflict of interest policy consistent with 2 C.F.R. § 200.318(c) and that such conflict of interest policy is applicable to each activity funded under this award. Recipient and subrecipients must disclose in writing to Treasury or the pass-through entity, as appropriate, any potential conflict of interest affecting the awarded funds in accordance with 2 C.F.R. § 200.112.
- 9. Compliance with Applicable Law and Regulations.
 - a. Recipient agrees to comply with the requirements of section 602 of the Act, regulations adopted by Treasury pursuant to section 602(f) of the Act, and guidance issued by Treasury regarding the foregoing. Recipient also agrees to comply with all other applicable federal statutes, regulations, and executive orders, and Recipient shall provide for such compliance by other parties in any agreements it enters into with other parties relating to this award.
 - b. Federal regulations applicable to this award include, without limitation, the following:
 - i. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, 2 C.F.R. Part 200, other than such provisions as Treasury may determine are inapplicable to this Award and subject to such exceptions as may be otherwise provided by Treasury. Subpart F Audit Requirements of the Uniform Guidance, implementing the Single Audit Act, shall apply to this award.
 - ii. Universal Identifier and System for Award Management (SAM), 2 C.F.R. Part 25, pursuant to which the award term set forth in Appendix A to 2 C.F.R. Part 25 is hereby incorporated by reference.
 - iii. Reporting Subaward and Executive Compensation Information, 2 C.F.R. Part 170, pursuant to which the award term set forth in Appendix A to 2 C.F.R. Part 170 is hereby incorporated by reference.
 - iv. OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement), 2 C.F.R. Part 180, including the requirement to include a term or condition in all lower tier covered transactions (contracts and subcontracts described in 2 C.F.R. Part 180, subpart B) that the award is subject to 2 C.F.R. Part 180 and Treasury's implementing regulation at 31 C.F.R. Part 19.

- v. Recipient Integrity and Performance Matters, pursuant to which the award term set forth in 2 C.F.R. Part 200, Appendix XII to Part 200 is hereby incorporated by reference.
- vi. Governmentwide Requirements for Drug-Free Workplace, 31 C.F.R. Part 20.
- vii. New Restrictions on Lobbying, 31 C.F.R. Part 21.
- viii. Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. §§ 4601-4655) and implementing regulations.
- ix. Generally applicable federal environmental laws and regulations.
- c. Statutes and regulations prohibiting discrimination applicable to this award include, without limitation, the following:
 - i. Title VI of the Civil Rights Act of 1964 (42 U.S.C. §§ 2000d et seq.) and Treasury's implementing regulations at 31 C.F.R. Part 22, which prohibit discrimination on the basis of race, color, or national origin under programs or activities receiving federal financial assistance;
 - ii. The Fair Housing Act, Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 et seq.), which prohibits discrimination in housing on the basis of race, color, religion, national origin, sex, familial status, or disability;
 - iii. Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of disability under any program or activity receiving federal financial assistance;
 - iv. The Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101 et seq.), and Treasury's implementing regulations at 31 C.F.R. Part 23, which prohibit discrimination on the basis of age in programs or activities receiving federal financial assistance; and
 - v. Title II of the Americans with Disabilities Act of 1990, as amended (42 U.S.C. §§ 12101 et seq.), which prohibits discrimination on the basis of disability under programs, activities, and services provided or made available by state and local governments or instrumentalities or agencies thereto.
- 10. Remedial Actions. In the event of Recipient's noncompliance with section 602 of the Act, other applicable laws, Treasury's implementing regulations, guidance, or any reporting or other program requirements, Treasury may impose additional conditions on the receipt of a subsequent tranche of future award funds, if any, or take other available remedies as set forth in 2 C.F.R. § 200.339. In the case of a violation of section 602(c) of the Act regarding the use of funds, previous payments shall be subject to recoupment as provided in section 602(e) of the Act and any additional payments may be subject to withholding as provided in sections 602(b)(6)(A)(ii)(III) of the Act, as applicable.
- 11. <u>Hatch Act.</u> Recipient agrees to comply, as applicable, with requirements of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328), which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by this federal assistance.
- 12. <u>False Statements.</u> Recipient understands that making false statements or claims in connection with this award is a violation of federal law and may result in criminal, civil, or administrative sanctions, including fines, imprisonment, civil damages and penalties, debarment from participating in federal awards or contracts, and/or any other remedy available by law.
- 13. <u>Publications.</u> Any publications produced with funds from this award must display the following language: "This project [is being] [was] supported, in whole or in part, by federal award number [enter project FAIN] awarded to [name of Recipient] by the U.S. Department of the Treasury."

14. Debts Owed the Federal Government.

- a. Any funds paid to Recipient (1) in excess of the amount to which Recipient is finally determined to be authorized to retain under the terms of this award; (2) that are determined by the Treasury Office of Inspector General to have been misused; or (3) that are determined by Treasury to be subject to a repayment obligation pursuant to sections 602(e) and 603(b)(2)(D) of the Act and have not been repaid by Recipient shall constitute a debt to the federal government.
- b. Any debts determined to be owed the federal government must be paid promptly by Recipient. A debt is delinquent if it has not been paid by the date specified in Treasury's initial written demand for payment, unless other satisfactory arrangements have been made or if the Recipient knowingly or improperly retains funds that are a debt as defined in paragraph 14(a). Treasury will take any actions available to it to collect such a debt.

15. Disclaimer.

- a. The United States expressly disclaims any and all responsibility or liability to Recipient or third persons for the actions of Recipient or third persons resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this award or any other losses resulting in any way from the performance of this award or any contract, or subcontract under this award.
- b. The acceptance of this award by Recipient does not in any way establish an agency relationship between the United States and Recipient.

16. Protections for Whistleblowers.

- a. In accordance with 41 U.S.C. § 4712, Recipient may not discharge, demote, or otherwise discriminate against an employee in reprisal for disclosing to any of the list of persons or entities provided below, information that the employee reasonably believes is evidence of gross mismanagement of a federal contract or grant, a gross waste of federal funds, an abuse of authority relating to a federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal contract (including the competition for or negotiation of a contract) or grant.
- b. The list of persons and entities referenced in the paragraph above includes the following:
 - i. A member of Congress or a representative of a committee of Congress;
 - ii. An Inspector General;
 - iii. The Government Accountability Office;
 - iv. A Treasury employee responsible for contract or grant oversight or management;
 - v. An authorized official of the Department of Justice or other law enforcement agency;
 - vi. A court or grand jury; or
 - vii. A management official or other employee of Recipient, contractor, or subcontractor who has the responsibility to investigate, discover, or address misconduct.
- c. Recipient shall inform its employees in writing of the rights and remedies provided under this section, in the predominant native language of the workforce.
- 17. <u>Increasing Seat Belt Use in the United States.</u> Pursuant to Executive Order 13043, 62 FR 19217 (Apr. 18, 1997), Recipient should encourage its contractors to adopt and enforce on-the-job seat belt policies and programs for their employees when operating company-owned, rented or personally owned vehicles.
- 18. <u>Reducing Text Messaging While Driving.</u> Pursuant to Executive Order 13513, 74 FR 51225 (Oct. 6, 2009), Recipient should encourage its employees, subrecipients, and contractors to adopt and enforce policies that ban text messaging while driving, and Recipient should establish workplace safety policies to decrease accidents caused by distracted drivers.

OMB Approved No. 1505-0271 Expiration Date: November 30, 2021

ASSURANCES OF COMPLIANCE WITH CIVIL RIGHTS REQUIREMENTS ASSURANCES OF COMPLIANCE WITH TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

As a condition of receipt of federal financial assistance from the Department of the Treasury, the recipient named below (hereinafter referred to as the "Recipient") provides the assurances stated herein. The federal financial assistance may include federal grants, loans and contracts to provide assistance to the Recipient's beneficiaries, the use or rent of Federal land or property at below market value, Federal training, a loan of Federal personnel, subsidies, and other arrangements with the intention of providing assistance. Federal financial assistance does not encompass contracts of guarantee or insurance, regulated programs, licenses, procurement contracts by the Federal government at market value, or programs that provide direct benefits.

The assurances apply to all federal financial assistance from or funds made available through the Department of the Treasury, including any assistance that the Recipient may request in the future.

The Civil Rights Restoration Act of 1987 provides that the provisions of the assurances apply to all of the operations of the Recipient's program(s) and activity(ies), so long as any portion of the Recipient's program(s) or activity(ies) is federally assisted in the manner prescribed above.

- 1. Recipient ensures its current and future compliance with Title VI of the Civil Rights Act of 1964, as amended, which prohibits exclusion from participation, denial of the benefits of, or subjection to discrimination under programs and activities receiving federal financial assistance, of any person in the United States on the ground of race, color, or national origin (42 U.S.C. § 2000d et seq.), as implemented by the Department of the Treasury Title VI regulations at 31 CFR Part 22 and other pertinent executive orders such as Executive Order 13166, directives, circulars, policies, memoranda, and/or guidance documents.
- 2. Recipient acknowledges that Executive Order 13166, "Improving Access to Services for Persons with Limited English Proficiency," seeks to improve access to federally assisted programs and activities for individuals who, because of national origin, have Limited English proficiency (LEP). Recipient understands that denying a person access to its programs, services, and activities because of LEP is a form of national origin discrimination prohibited under Title VI of the Civil Rights Act of 1964 and the Department of the Treasury's implementing regulations. Accordingly, Recipient shall initiate reasonable steps, or comply with the Department of the Treasury's directives, to ensure that LEP persons have meaningful access to its programs, services, and activities. Recipient understands and agrees that meaningful access may entail providing language assistance services, including oral interpretation and written translation where necessary, to ensure effective communication in the Recipient's programs, services, and activities.
- 3. Recipient agrees to consider the need for language services for LEP persons when Recipient develops applicable budgets and conducts programs, services, and activities. As a resource, the Department of the Treasury has published its LEP guidance at 70 FR 6067. For more information on taking reasonable steps to provide meaningful access for LEP persons, please visit http://www.lep.gov.
- 4. Recipient acknowledges and agrees that compliance with the assurances constitutes a condition of continued receipt of federal financial assistance and is binding upon Recipient and Recipient's successors, transferees, and assignees for the period in which such assistance is provided.
- 5. Recipient acknowledges and agrees that it must require any sub-grantees, contractors, subcontractors, successors, transferees, and assignees to comply with assurances 1-4 above, and agrees to incorporate the following language in every contract or agreement subject to Title VI and its regulations between the Recipient and the Recipient's sub-grantees, contractors, subcontractors, successors, transferees, and assignees:

The sub-grantee, contractor, subcontractor, successor, transferee, and assignee shall comply with Title VI of the Civil Rights Act of 1964, which prohibits recipients of federal financial assistance from excluding from a program or activity, denying benefits of, or otherwise discriminating against a person on the basis of race, color, or national origin (42 U.S.C. § 2000d et seq.), as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, which are herein incorporated by reference and made a part of this contract (or agreement). Title VI also includes protection to persons with "Limited English Proficiency" in any program or activity receiving federal financial assistance, 42 U.S.C. § 2000d et seq., as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, and herein incorporated by reference and made a part of this contract or agreement.

6. Recipient understands and agrees that if any real property or structure is provided or improved with the aid of federal financial assistance by the Department of the Treasury, this assurance obligates the Recipient, or in the case of a subsequent transfer, the transferee, for the period during which the real property or structure is used for a purpose for which the federal

financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is provided, this assurance obligates the Recipient for the period during which it retains ownership or possession of the property.

- 7. Recipient shall cooperate in any enforcement or compliance review activities by the Department of the Treasury of the aforementioned obligations. Enforcement may include investigation, arbitration, mediation, litigation, and monitoring of any settlement agreements that may result from these actions. The Recipient shall comply with information requests, on-site compliance reviews and reporting requirements.
- 8. Recipient shall maintain a complaint log and inform the Department of the Treasury of any complaints of discrimination on the grounds of race, color, or national origin, and limited English proficiency covered by Title VI of the Civil Rights Act of 1964 and implementing regulations and provide, upon request, a list of all such reviews or proceedings based on the complaint, pending or completed, including outcome. Recipient also must inform the Department of the Treasury if Recipient has received no complaints under Title VI.
- 9. Recipient must provide documentation of an administrative agency's or court's findings of non-compliance of Title VI and efforts to address the non-compliance, including any voluntary compliance or other agreements between the Recipient and the administrative agency that made the finding. If the Recipient settles a case or matter alleging such discrimination, the Recipient must provide documentation of the settlement. If Recipient has not been the subject of any court or administrative agency finding of discrimination, please so state.
- 10. If the Recipient makes sub-awards to other agencies or other entities, the Recipient is responsible for ensuring that sub-recipients also comply with Title VI and other applicable authorities covered in this document State agencies that make sub-awards must have in place standard grant assurances and review procedures to demonstrate that that they are effectively monitoring the civil rights compliance of subrecipients.

The United States of America has the right to seek judicial enforcement of the terms of this assurances document and nothing in this document alters or limits the federal enforcement measures that the United States may take in order to address violations of this document or applicable federal law.

Under penalty of perjury, the undersigned official(s) certifies that official(s) has read and understood the Recipient's obligations as herein described, that any information submitted in conjunction with this assurances document is accurate and complete, and that the Recipient is in compliance with the aforementioned nondiscrimination requirements.

Dallas County Recipient

5/12/2021

Date

Signature of Authorized Official

PAPERWORK REDUCTION ACT NOTICE

The information collected will be used for the U.S. Government to process requests for support. The estimated burden associated with this collection of information is 30 minutes per response. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Office of Privacy, Transparency and Records, Department of the Treasury, 1500 Pennsylvania Ave., N.W., Washington, D.C. 20220. DO NOT send the form to this address. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid control number assigned by OMB.